

"Express Mail" mailing number EL863524037US
Date of Deposit: 26-10-01
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C. 20231
April N. Williams

09/980974
JC13 Rec'd PCT/PTO 26 OCT 2001

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jensen et al.

Group Art Unit: Not Assigned

Serial No.: Not Assigned

Examiner: Not Assigned

Filed: Herewith

Docket No.: 1406/24

For: METHOD AND DEVICE OR BRANCHING DURING THE PROCESSING OF
A PROGRAM BY A PROCESSOR

PRELIMINARY AMENDMENT

Honorable Commissioner for Patents
BOX PCT
Washington, D.C. 20231

Dear Sir:

Kindly amend the subject application as follows:

IN THE SPECIFICATION:

Please insert the paragraph heading on page 1 of the English translation of the Annex to Form PCT/IPEA/409, after the title and before the first full paragraph, as follows:

--Technical Field--.

Please insert the paragraph heading on page 1 of the English translation of the Annex to Form PCT/IPEA/409, before the second full paragraph, as follows:

--Background Art--.

Please insert the paragraph heading on page 2 of the English translation of the Annex to Form PCT/IPEA/409, before the first full paragraph, as follows:

--Summary of the Invention--.

Please insert the paragraph heading on page 3 of the English translation of the Annex to Form PCT/IPEA/409, before the fifth full paragraph, as follows:

--Brief Description of the Drawings--.

Please insert the paragraph heading on page 4 of the English translation of the Annex to Form PCT/IPEA/409, before the second full paragraph, as follows:

--Detailed Description of the Invention--.

0980974-030602

09960974-030602

IN THE CLAIMS:

Please delete the paragraph heading on page 9 of the English translation of the annex to Form PCT/IPEA/409, line 1, and insert in place thereof the paragraph heading as follows:

--CLAIMS--

Please insert the paragraph heading on page 9 of the English translation of the annex to Form PCT/IPEA/409, before claim 1, the following:

-- What is claimed is: --

Please amend claims 1-8 as follows:

1. (Amended) A method for branching when a program is executed by a processor, where the program is stored in a program memory, and a variable memory and a table memory for storing fixed values are provided, the processor executing the following steps:

- a) a first memory cell in the variable memory is addressed,
- b) a second memory cell in the variable memory is addressed on the basis of the content of the first memory cell, addressed in step a), and further parameters,
- c) a memory cell in the table memory is addressed on the basis of the content of the second memory cell, addressed in step b), and
- d) execution branches to a program address which is stored in that memory cell in the table memory which was addressed in step c).

2. (Amended) The method as claimed in claim 1, wherein in step b), the second memory cell in the variable memory is addressed by the result of an instruction which processes the content of the first memory cell in the variable memory and further parameters.

3. (Amended) The method as claimed in claim 1, wherein the variable memory is addressed using a first bit length a, and the table memory is addressed using a second bit length b, the first bit length a and the second bit length b being of different size.

4. (Amended) An apparatus for carrying out the method as claimed in claim 1, where

the program memory is connected to the processor by means of a bidirectional bus,

an addressing unit is provided which receives first addresses from the processor via a bus and converts the first addresses into second addresses, and thus uses a bus to address the variable memory, which can be read by the processor via a bus, and

the table memory is connected to the processor by means of a bidirectional bus.

5. (Amended) The apparatus as claimed in claim 4, wherein a device is provided which receives data from the variable memory via a bus and receives data

from the processor via a bus, and uses the received data to calculate an address for addressing the variable memory via a bus.

6. (Amended) The apparatus as claimed in claim 4, wherein the variable memory is a read/write memory.

7. (Amended) The apparatus as claimed in claim 4, wherein the table memory is a read/write memory.

8. (Amended) The apparatus as claimed in claim 4, wherein the processor is in the form of an I/O processor for protocol processing.

REMARKS

The amendments to the specification as set forth above are intended to clarify and set apart the various sections of the subject application.

The amendments to the claims as set forth above are intended to remove all multiple dependent claims from the subject application and to more particularly point out and distinctly claim the subject invention.

Attached hereto is a marked-up version of the specification and claims 1-8, which illustrates all of the changes made to the specification and claims pursuant to 37 CFR §1.121. The attached page is captioned "**Version With Markings To Show Changes Made**". Deleted language is bracketed and added language is underlined.

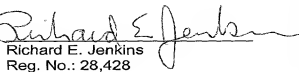
The Commissioner is hereby authorized to charge any deficiencies or credit any overpayments in connection with the filing of this correspondence to Deposit Account No. 50-0426.

Respectfully submitted,

JENKINS & WILSON, P.A.

Date: 10-26-01

By:


Richard E. Jenkins
Reg. No.: 28,428

Suite 1400 University Tower
3100 Tower Boulevard
Durham, North Carolina 27707
Telephone: (919) 493-8000
Facsimile: (919) 419-0383



25297

PATENT TRADEMARK OFFICE

1406/24

REJ/lsg

Serial No.: Not yet assigned

Version With Markings To Show Changes Made

IN THE SPECIFICATION:

The paragraph heading has been inserted on page 1 of the English translation of the Annex to Form PCT/IPEA/409, before the first full paragraph, as follows:

Technical Field

The paragraph heading has been inserted on page 1 of the English translation of the Annex to Form PCT/IPEA/409, before the second full paragraph, as follows:

Background Art

The paragraph heading has been inserted on page 2 of the English translation of the Annex to Form PCT/IPEA/409, before the first full paragraph, as follows:

Summary of the Invention

The paragraph heading has been inserted on page 3 of the English translation of the Annex to Form PCT/IPEA/409, before the fifth full paragraph, as follows:

Brief Description of the Drawings

The paragraph heading has been inserted on page 4 of the English translation of the Annex to Form PCT/IPEA/409, before the second full paragraph, as follows:

Detailed Description of the Invention

IN THE CLAIMS:

The paragraph heading "Patent Claims" on page 1 of the English translation of the Annex to Form PCT/IPEA/409 has been deleted and the paragraph heading has been inserted in place thereof as follows:

CLAIMS

The paragraph heading has been inserted on page 1 of the English translation of the Annex to Form PCT/IPEA/409, before claim 1, as follows:

What is claimed is:

1. (Amended) A method for branching when a program is executed by a processor [(100)], where the program is stored in a program memory [(5)], and a variable memory [(6)] and a table memory [(7)] for storing fixed values are provided, the processor [(100)] executing the following steps:

- a) a first memory cell [(12)] in the variable memory [(6)] is addressed [(1)],
- b) a second memory cell [(13)] in the variable memory is addressed [(2)] on the basis of the content of the first memory cell [(12)], addressed in step a), and further parameters [(11)],
- c) a memory cell [(14)] in the table memory [(7)] is addressed [(3)] on the basis of the content of the second memory cell [(13)], addressed in step b), and

- d) execution branches to a program address [(15)] which is stored in that memory cell [(14)] in the table memory [(7)] which was addressed in step c).

2. (Amended) The method as claimed in claim 1, [characterized in that] wherein in step b), the second memory cell [(13)] in the variable memory [(6)] is addressed by the result of an instruction [(10)] which processes the content of the first memory cell [(12)] in the variable memory [(6)] and further parameters [(11)].

3. (Amended) The method as claimed in claim 1 [or 2, characterized in that], wherein the variable memory [(6)] is addressed [(1)] using a first bit length a, and the table memory [(7)] is addressed [(3)] using a second bit length b, the first bit length a and the second bit length b being of different size.

4. (Amended) An apparatus for carrying out the method as claimed in claim 1, where

the program memory [(5)] is connected to the processor [(100)] by means of a bidirectional bus [(103)],

an addressing unit [(101)] is provided which receives first addresses from the processor [(100)] via a bus [(104)] and converts the first addresses into second addresses, and thus uses a bus [(105)] to address the variable memory [(6)], which can be read by the processor [(100)] via a bus [(109)], and

the table memory [(7)] is connected to the processor [(100)] by means of a bidirectional bus [(110)].

5. (Amended) The apparatus as claimed in claim 4, [characterized in that] wherein a device [(102)] is provided which receives data from the variable memory [(6)] via a bus [(106)] and receives data from the processor [(100)] via a bus [(106)], and uses the received data to calculate an address for addressing the variable memory [(6)] via a bus [(107)].

6. (Amended) The apparatus as claimed in claim 4 or 5, characterized in that] 4, wherein the variable memory [(5)] is a read/write memory.

7. (Amended) The apparatus as claimed in claim 4, 5 or 6, characterized in that] 4, wherein the table memory [(7)] is a read/write memory.

8. (Amended) The apparatus as claimed in [one of Claims 4 to 7, characterized in that] claim 4, wherein the processor [(100)] is in the form of an I/O processor for protocol processing.

Description

Method and apparatus for branching when a program is executed by a processor

The invention relates to a method for branching when a program is executed by a processor and to an apparatus for carrying out the method.

WO 96/08763 discloses a method for branching when a program is executed by a processor, where the processor executes an indirect instruction in a double jump register by using the content of a designated pointer register to determine the address of a procedure which is to be executed as an index to a procedure address table.

Instructions for branching during program execution by a processor - also referred to as jump instructions - are divided up into indirect and direct jump instructions.

In this context, indirect jump instructions calculate the destination address of the program branch operation or of the jump indirectly using the content of an "index register". The value stored in the index register can be varied during program execution, so that the jump destination can be programmed within particular ranges. A drawback in this case, however, is that indirect jump instructions are executed in single stages (a stage for calculating the jump or branch destination), and the jump or the branch operation can be executed in two stages only under complex conditions using a large number of instructions. The advantage of two-stage execution is simple reprogrammability and adaptability of jump or branch destinations as the jump is processed.

The invention is therefore based on the object of providing a method for branching when a program is executed by a processor, and an appropriate apparatus for carrying out the method, which permits two-stage program branching using variable indirect addressing.

This object is achieved by a method having the features of claim 1 and by an apparatus having the features of claim 4. Preferred embodiments of the invention are the subject matter of the subclaims.

According to the invention, a method for branching when a program is executed by a processor, where the program is stored in a program memory, and a variable memory and a table memory are provided, has the following steps executed by the processor:

- a) a first memory cell in the variable memory is addressed,
- b) a second memory cell in the variable memory is addressed on the basis of the content of the first memory cell, addressed in step a), and further parameters,
- c) a memory cell in the table memory is addressed on the basis of the content of the second memory cell, addressed in step b), and
- d) execution branches to a program address which is stored in that memory cell in the table memory which was addressed in step c).

Advantageously, the two-stage branch operation (first stage: step a) and b), second stage: step c)) allows variable indirect addressing of the jump or branch destination. In this case, the variable indirect addressing can be influenced by further parameters in step b).

Preferably, in step b), the second memory cell in the variable memory is addressed by the result of an

ART 3A AM77

09980974-130602

instruction which processes the content of the first memory cell in the variable memory and the further parameters.

In addition, the variable memory is addressed using a first bit length a, and the table memory is addressed using a second bit length b, where the first bit length a and the second bit length b may be of different size.

The invention also relates to an apparatus for carrying out the method, where the program memory is connected to the processor by means of a first bidirectional bus. In addition, an addressing unit is provided which receives first addresses from the processor via a first bus and converts the first addresses into second addresses, and thus uses a second bus to address a variable memory which can be read by the processor via a third bus, and a table memory connected to the processor by means of a second bidirectional bus is provided.

Preferably, a device is provided which receives data from the variable memory via a fourth bus and receives data from the processor via a fifth bus and uses the received data to calculate an address for addressing the variable memory via a sixth bus.

In addition, the variable memory is a read/write memory, and the table memory is preferably in the form of a read/write memory. The processor is preferably in the form of an I/O processor for protocol processing.

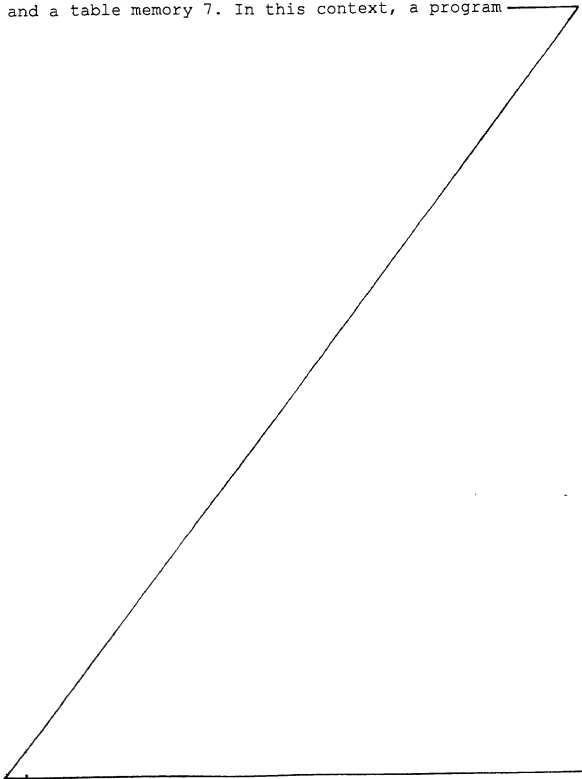
Preferred embodiments of the invention are explained below with reference to the drawings, in which

figure 1 shows an exemplary embodiment of the inventive method, and

4
- 92

figure 2 shows an exemplary embodiment of the inventive apparatus for carrying out the method.

Figure 1 is a schematic illustration of the inventive method, using a program memory 5, a variable memory 6 and a table memory 7. In this context, a program



13 in the variable memory 6 has a bit length of b bits. To address the table memory, the content of the register 13 in the variable memory 6 is transferred to the table memory 7 in the form of a second address
5 signal 3. The memory cell addressed in the table memory 7 is then read and loaded into the program counter of the processor.

The new program counter value 14 which is then in the
10 program counter now addresses (third addressing signal 4 having a length of c bits) the memory cell having the address $x + y$ in the program memory, which address indicates the branch destination 15 for the branch operation or jump in the program.

15 The two-stage execution of the method - first stage: addressing the variable memory 6, second stage: addressing the table memory 7 - allows an indirect
branch instruction based on the inventive method to be
20 adjusted to different requirements very flexibly.

The additional option of influencing or varying the addressing of the register 13 in the variable memory using the unit 10 allows the jump or branch destination
25 to be varied as the BRI instruction is executed.

A processor processing the BRI instruction can be prompted to change the branch or jump destination, for example by a data stream which is to be processed. The
30 parameters 11 thus permit the processor to control the unit 10 such that another memory cell or another register in the variable memory 6 is addressed instead of the register 13 in the variable memory 6, which was originally anticipated by the BRI instruction. This
35 means that the jump or branch destination of the BRI instruction can be changed as the first stage of the instruction is actually being executed.

It is also possible for the content of the variable memory 6 to be varied by the processor or by other devices by means of reprogramming 9. This allows the jump or branch destinations of the BRI instructions to be varied. It is therefore possible to react flexibly to further variations in the program flow or in the program execution.

Figure 2 shows an apparatus for carrying out the inventive method. Elements which are already shown in figure 1 are identified using the same reference symbols as in figure 1.

A processor 100 containing a program counter PC can be connected to other devices by means of buses 111 and 112.

The processor 100 uses the program counter PC to address a program memory 5 connected to the processor by means of a bidirectional bus 103.

If the processor 100 encounters a BRI instruction for a program branch operation when executing a program from the program memory, the processor 100 addresses a variable memory 6 via an addressing unit 101. The variable memory 6 in turn contains addresses for addressing a table memory 7.

For this purpose, the addressing unit 101 receives addresses from the processor 100 via a unidirectional bus 104. The addressing unit 101 converts these addresses into addresses for addressing the variable memory 6, said addresses being transmitted from the addressing unit 101 to the variable memory 6 via a unidirectional bus 105. As a result, in the variable memory 6, a memory cell is addressed which contains the address of a further memory cell in the variable memory 6.

00000074.030602

The content of the memory cell in the variable memory 6 is supplied via a unidirectional bus 106 to a unit for address calculation 102.

5

The unit for address calculation 102 receives from the processor 100 further data via a unidirectional bus 108 and uses the content of the memory cell in the variable memory 6 and the data from the processor to calculate an address for addressing the further memory cell in the variable memory. This address is transmitted from the unit for address calculation 102 to the variable memory 6 via a unidirectional bus 107.

10

This indirect calculation of an address in the table memory, which in turn contains the addresses of the jump destinations, provides the option of varying the jump destinations on the basis of data which the processor 100 sends to the unit for address calculation 102.

20

The content of the now-addressed second memory cell in the variable memory 6 is read by the processor via a unidirectional bus 109.

25

The processor then uses this address to address the table memory 7, which is connected to the processor by means of a bidirectional bus 110. The content of the addressed memory cell in the table memory 7 is read by the processor via the bidirectional bus 110 and is written to the program counter PC.

30

The program counter PC in turn uses the bidirectional bus 103 to address the program memory at the anticipated jump or branch destination.

35

The variable memory 6 can additionally be reprogrammed by the processor via a bidirectional bus 113. This

allows the addresses stored in the variable memory to be reprogrammed for addressing the table memory 7.

In addition, the table memory 7 can be reprogrammed by the processor via the bidirectional bus 110. This provides another opportunity for reprogramming all the branch operations or jump destinations of the BRI instructions.

10 The invention can be used, in particular, in special
processors for protocol processing for
telecommunication protocols, such as ISDN.

Patent Claims

1. A method for branching when a program is executed by a processor (100), where the program is stored in a program memory (5), and a variable memory (6) and a table memory (7) for storing fixed values are provided, the processor (100) executing the following steps:
- a) a first memory cell (12) in the variable memory (6) is addressed (1),
 - b) a second memory cell (13) in the variable memory is addressed (2) on the basis of the content of the first memory cell (12), addressed in step a), and further parameters (11),
 - c) a memory cell (14) in the table memory (7) is addressed (3) on the basis of the content of the second memory cell (13), addressed in step b), and
 - d) execution branches to a program address (15) which is stored in that memory cell (14) in the table memory (7) which was addressed in step c).
2. The method as claimed in claim 1, characterized in that, in step b), the second memory cell (13) in the variable memory (6) is addressed by the result of an instruction (10) which processes the content of the first memory cell (12) in the variable memory (6) and further parameters (11).
3. The method as claimed in claim 1 or 2, characterized in that the variable memory (6) is addressed (1) using a first bit length a, and the

table memory (7) is addressed (3) using a second bit length b, the first bit length a and the second bit length b being of different size.

4. An apparatus for carrying out the method as claimed in claim 1, where

the program memory (5) is connected to the processor (100) by means of a bidirectional bus (103),

an addressing unit (101) is provided which receives first addresses from the processor (100) via a bus (104) and converts the first addresses into second addresses, and thus uses a bus (105) to address the variable memory (6), which can be read by the processor (100) via a bus (109), and

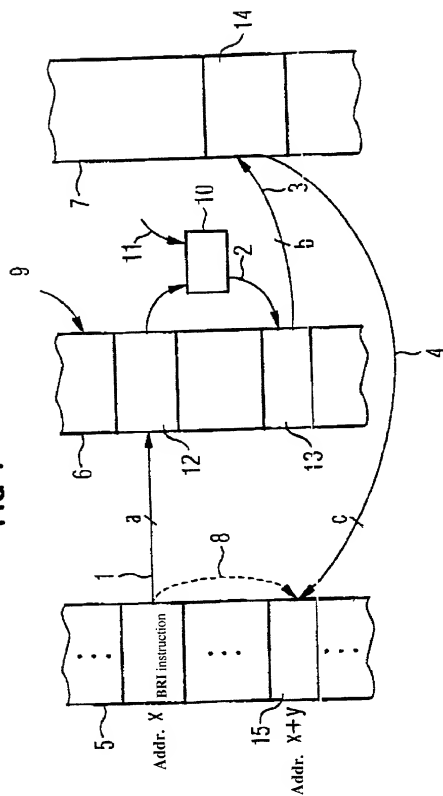
the table memory (7) is connected to the processor (100) by means of a bidirectional bus (110).

5. The apparatus as claimed in claim 4, characterized in that a device (102) is provided which receives data from the variable memory (6) via a bus (106) and receives data from the processor (100) via a bus (108), and uses the received data to calculate an address for addressing the variable memory (6) via a bus (107).
6. The apparatus as claimed in claim 4 or 5, characterized in that the variable memory (5) is a read/write memory.
7. The apparatus as claimed in claim 4, 5 or 6, characterized in that the table memory (7) is a read/write memory.

8. The apparatus as claimed in one of Claims 4 to 7, characterized in that the processor (100) is in the form of an I/O processor for protocol processing.

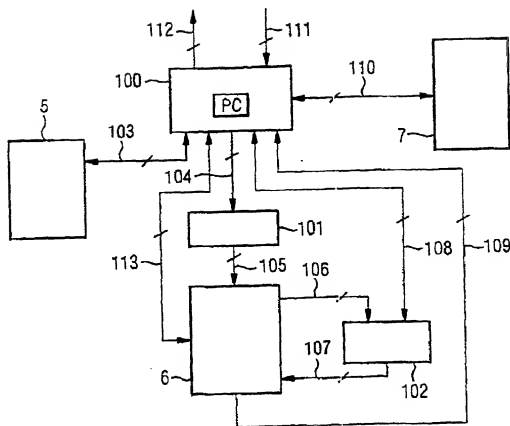
2005014208602

FIG 1



2/2

FIG 2



Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

☐ Declaration Submitted with Initial Filing **OR** ☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number **1406/24**
First Named Inventor **JENSEN, Karsten**

COMPLETE IF KNOWN

Application Number **09 980,974**
Filing Date **October 26, 2001**
Group Art Unit
Examiner Name

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD AND DEVICE FOR BRANCHING DURING THE PROCESSING OF A PROGRAM
BY A PROCESSOR**

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

10/26/2001

as United States Application Number or PCT International

Application Number

09/980,974

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
199 23 517.1 PCT/DE00/01610	Germany WIPO	05/21/1999 05/19/2000	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement. This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371ATTORNEY'S DOCKET NUMBER
1406/24

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

09/980974

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/DE00/01610

19 May 2000 (19.05.00)

21 May 1999 (21.05.99)

TITLE OF INVENTION METHOD AND DEVICE FOR BRANCHING DURING THE PROCESSING OF A
PROGRAM BY A PROCESSOR

APPLICANT(S) FOR DO/EO/US INFINEON TECHNOLOGIES, AG; JENSEN, Karsten and HOBER, Peter

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND or SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
- a. ☐ is attached hereto (required only if not communicated by the International Bureau).
- b. ☒ has been communicated by the International Bureau.
- c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
- a. ☒ is attached hereto.
- b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
- a. ☐ are attached hereto (required only if not communicated by the International Bureau).
- b. ☐ have been communicated by the International Bureau.
- c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
- d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☒ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1
12. ☐ An assignment document for recording. A separate cover sheet
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND or SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:

Copy of cover page of PCT Publication WO 00/72311; Copy of International Search Report; Copy of International Preliminary Examination Report w/ Annexes (in German)

Express Mail mailing label

Number EL863534037USDate of Deposit 21-10-01

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231. Katrina T. Holland, Lillian S. Glenn, Amy J. Martin, Karen S. Flynn, Paige E. Snyder, Sheryl E. Dunn.

Paul A. Whelan

21. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a) (2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfy provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

\$ 890.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	8 -20 =	0	x \$18.00
Independent claims	1 -3 =	0	x \$80.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00

\$

\$ 0.00

\$ 0.00

\$ 0.00

TOTAL OF ABOVE CALCULATIONS =

\$ 890.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above
are reduced by 1/2.

\$ 0.00

SUBTOTAL =

\$ 890.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ 0.00

TOTAL NATIONAL FEE =

\$ 890.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$ 0.00

TOTAL FEES ENCLOSED =

\$ 890.00

Amount to be
refunded: \$

charged: \$

- a. ☒ A check in the amount of \$ 890.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 50-0426. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card
information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Richard E. Jenkins
JENKINS & WILSON, P.A.
Suite 1400 University Tower
3100 Tower Boulevard
Durham, NC 27707
USA



25297

PATENT TRADEMARK OFFICE

Richard E. Jenkins
SIGNATURE

Richard E. Jenkins
NAME

28.428

REGISTRATION NUMBER

DECLARATION — Utility or Design Patent ApplicationDirect all correspondence to: ☒ Customer Number
or Bar Code LabelOR ☐ Correspondence address below

Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

Karsten

Family Name

Jensen

(first and middle (if any))

Inventor's

Signature

Karsten JensenDate 11/15/01

Residence: City

Freital

State

Country

DECitizenship DE

Mailing Address

Bernhardts Weg 2

Mailing Address

City

Freital

State

ZIP

D-01705

Country

Germany

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

Peter

Family Name

Hober

(first and middle (if any))

Inventor's

Signature

Residence: City

Riemerling

State

Country

DECitizenship DE

Mailing Address

Sommerstr. 18

Mailing Address

City

Riemerling

State

ZIP

D-85521

Country

Germany☐ Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

DECLARATION — Utility or Design Patent Application

Direct all correspondence to:

Customer Number
or Bar Code LabelOR ☐

Correspondence address below

25297

PATENT TRADEMARK OFFICE

Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR :

☐ A petition has been filed for this unsigned inventor

Given Name

Karsten

Family Name

Jensen

(first and middle (if any))

or Surname

Inventor's
Signature

Date

Residence: City

Freital

State

Country

DE

Citizenship

DE

Mailing Address

Bernhardts Weg 2

Mailing Address

City

Freital

State

ZIP

D-01705

Country

Germany

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

Peter

Family Name

Hober

(first and middle (if any))

or Surname

Inventor's
SignatureDate **11/21/01**

Residence: City

Riemerling

State

Country

DECitizenship **DE**

Mailing Address

Sommerstr. 18

Mailing Address

City

Riemerling

State

ZIP

D-85521

Country

Germany☐ Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

0980974.030602